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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

31779

10/12/2007

JOHN A. SMART
 708 BLOSSOM HILL RD. #201
 LOS GATOS, CA 95032-3503

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John A. Smart	(Depositor's name)
/John A. Smart/	(Signature)
January 12, 2008	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/709,974	06/10/2004	Heping Shang	SYB/0105.00	3973

TITLE OF INVENTION: SYSTEM PROVIDING METHODOLOGY FOR REPLICATION SUBSCRIPTION RESOLUTION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$1740	01/14/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
PULLIAM, CHRISTYANN R	2191	

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 John A. Smart
 2 G. Mack Riddle
 3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Sybase, Inc.

Dublin, CA

Please check the appropriate assignee category or categories (will not be printed on the patent) : ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

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- ☐ A check in the amount of the fee(s) is enclosed.
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- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number **195404** (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature /John A. Smart/

Date January 12, 2008

Typed or printed name John A. Smart

Registration No. 34929

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Dublin, CA

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Authorized Signature /John A. Smart/Date January 12, 2008Typed or printed name John A. SmartRegistration No. 34929

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FEE TRANSMITTAL**Complete if Known**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 1740

Application Number	10/709,974
Filing Date	June 10, 2004
First Named Inventor	Shang
Examiner Name	Pulliam, Christyann R
Art Unit	2191
Attorney Docket No.	SYB/0105.00

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit Account Number
Deposit Account Name

195404

Sybase, Inc.

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Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001		2001		Utility filing fee	
1002		2002		Design filing fee	
1003		2003		Plant filing fee	
1004		2004		Reissue filing fee	
1005		2005		Provisional filing fee	
SUBTOTAL (1)				(\$)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	=	X	=
Multiple Dependent	=	X	=

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202		2202		Claims in excess of 20
1201		2201		Independent claims in excess of 3
1203		2203		Multiple dependent claim, if not paid
1204		2204		** Reissue independent claims over original patent
1205		2205		** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051		2051		Surcharge - late filing fee or oath	
1052		2052		Surcharge - late provisional filing fee or cover sheet	
1053		1053		Non-English specification	
1812		1812		For filing a request for <i>ex parte</i> reexamination	
1804		1804		Requesting publication of SIR prior to Examiner action	
1805		1805		Requesting publication of SIR after Examiner action	
1251		2251		Extension for reply within first month	
1252		2252		Extension for reply within second month	
1253		2253		Extension for reply within third month	
1254		2254		Extension for reply within fourth month	
1255		2255		Extension for reply within fifth month	
1401		2401		Notice of Appeal	
1402		2402		Filing a brief in support of an appeal	
1403		2403		Request for oral hearing	
1451		1451		Petition to institute a public use proceeding	
1452		2452		Petition to revive - unavoidable	
1453		2453		Petition to revive - unintentional	
1501		2501		Utility issue fee (or reissue)	
1502		2502		Design issue fee	
1503		2503		Plant issue fee	
1460		1460		Petitions to the Commissioner	
1807		1807		Processing fee under 37 CFR 1.17(q)	
1806		1806		Submission of Information Disclosure Stmt	
8021		8021		Recording each patent assignment per property (times number of properties)	
1809		2809		Filing a submission after final rejection (37 CFR 1.129(a))	
1810		2810		For each additional invention to be examined (37 CFR 1.129(b))	
1801		2801		Request for Continued Examination (RCE)	
1802		1802		Request for expedited examination of a design application	
Other fee (specify) <u>Issue & Pub Fee</u>					1740

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1740**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	John A. Smart	Registration No. (Attorney/Agent)	34929	Telephone	(408) 884 1507
Signature	/John A. Smart/	Date	01/12/2008		

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DUPLICATE

Docket No. SYB/0105.00

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2>		Complete if Known	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/709,974
		Filing Date	June 10, 2004
		First Named Inventor	Shang
		Examiner Name	Pulliam, Christyann R
		Art Unit	2191
TOTAL AMOUNT OF PAYMENT		(\$)	1740
		Attorney Docket No.	SYB/0105.00

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																																	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 195404 Deposit Account Name: Sybase, Inc. The Director is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td></td><td>2051</td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td></td><td>2052</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td></td><td>1053</td><td></td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td></td><td>1812</td><td></td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td></td><td>1804</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td></td><td>1805</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td></td><td>2251</td><td></td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td></td><td>2252</td><td></td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td></td><td>2253</td><td></td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td></td><td>2254</td><td></td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td></td><td>2255</td><td></td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td></td><td>2401</td><td></td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td></td><td>2402</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td></td><td>2403</td><td></td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td></td><td>1451</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td></td><td>2452</td><td></td><td>Petition to revive - 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1807		1807		Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																	
1806		1806		Submission of Information Disclosure Stmt																																																																																																																																																																																																	
8021		8021		Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																	
1809		2809		Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																	
1810		2810		For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																	
1801		2801		Request for Continued Examination (RCE)																																																																																																																																																																																																	
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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY (Complete if applicable)			
Name (Print/Type)	John A. Smart	Registration No. (Attorney/Agent)	34929
Signature	/John A. Smart/	Telephone	(408) 884 1507
		Date	01/12/2008

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